

Disclosure with respect to compliance for Annual compliance audit requirement for last and current financial year are as under:

Sr.no.	Financial Year	Compliance Audit Status	Remarks if any
1	FY 2023-24	Conducted	1) There was a change in the place of business which was not informed to SEBI. This is considered as a material change. However, the same was informed to BASL via periodic reporting. 2) The Company had not got registered with CKYC Registry and hence not followed the Know Your Client procedure as specified by SEBI under Regulation 15(8) to that extent. 3) The Disclaimer as regards registration granted by SEBI, membership of BASL & certification from NISM is mentioned on the website but not in reports and other form of correspondence with the clients after May 1, 2023 4) The Company has not got registered with FIU. However, there are no suspicious transactions reported by the entity as required
2	FY 2024-25	Conducted	1) There was a change in the place of business and change in compliance officer which was not informed to SEBI / IAASB. However, the same was reported in periodic reporting. 2) The Disclaimer as regards registration granted by SEBI, membership of BASL & certification from NISM is mentioned on the website but not in some reports & some email correspondence with the clients. 3) The Company has not got registered with FIU. However, the process is not completed due to some technical issues. There are no suspicious transactions reported by the entity as 4) The company maintains KYC records of the clients. The Company has started the process for registration with CKYC Registry but the same has not been completed due to technical issues with CKYC registry